

Caterpillar Privacy Notice for The Caterpillar DC Master Trust Pension Plan

Last Revised: December 2023

This privacy notice describes how Caterpillar (UK) Limited as the Principal Employer collects and processes personal information in relation to the Caterpillar DC Master Trust Pension Plan (the Plan). This notice is consistent with Caterpillar's Global Privacy Statement, which describes how Caterpillar collects, processes, and shares personal data, rights that you may have under privacy laws, and other information relevant to Caterpillar's processing of personal information. Caterpillar's Global Privacy Statement is available at <http://www.caterpillar.com/dataprivacy>.

What personal information is collected and processed in relation to the Caterpillar DC Master Trust Pension Plan, and why?

1.1 As a member of the Plan, we may collect and process any or all of the following Pension Personal Information about you:

- (a) personal details such as your name, gender, age, date of birth, national insurance number, email address, postal address, telephone or mobile number,
- (b) employment details such as pensionable pay, length of service, employment and career history, termination details, attendance record, job title, financial details such as income, salary, assets and investments, contributions paid to the Plan,

1.2 We may collect and process the following personal information about family or dependents:

- (a) name, date of birth, postal address, telephone number, mobile telephone number and email address,
- (b) information about your relationship with a Connected Person* such as a spouse or dependent (eg that you are married to the Connected Person),
- (c) where benefits are payable on your death, bank account details for a Connected Person (to process payments of benefits).

2.1 As a member of the Plan, we will collect or obtain personal data about you in the following ways:

- (a) information will be provided from the Caterpillar human resources (HR) operating system on joining the company or the plan and sent to the Plan administrator
- (b) the HR operating system will collect subsequent changes to your role, or salary, which affected your contributions, or benefits under the Plan and send to the Plan administrator
- (c) you may provide personal data from time to time via email, form or letter to Caterpillar who will then provide it to the Plan administrator

* any individual connected with you, including any dependent or any beneficiary nominated by you in relation to the Plan

How does Caterpillar disclose or share Personal Information?

Caterpillar may disclose your information to WTW or the purpose of administering the Caterpillar DC Master Trust Pension Plan, and as may be required under law. To learn more about WTW's privacy practices, please review their privacy notice, which is available at <https://www.lifesight.com/privacy-policy>

Where can I obtain further information about The Caterpillar DC Master Trust Plan and its privacy practices?

If you have questions about this process, please contact ukpensions@cat.com

For additional information about Caterpillar's privacy practices, including corporate contact information, please see the Global Privacy Statement at <http://www.caterpillar.com/dataprivacy>.

What happens if this privacy notice changes?

We reserve the right to amend this privacy notice. If we update or change this privacy notice, the changes will be made on this page.